

02/13/02  
U.S. PTO

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. MI22-1804

First Inventor Brian E. Cron

Title Methods for Conditioning Surfaces of Polishing Pads...

Express Mail Label No. EL 844054962

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 19]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention includes cover pg
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 5 ]
5. Oath or Declaration [ Total Pages 2 ]
  - a.  Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*
  - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  
*(when there is an assignee)*  Power of Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s)  
*(If foreign priority is claimed)*
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17.  Other:  Check for fees .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_ / \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

|   |   |    |   |
|---|---|----|---|
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label | 021567<br><i>(Insert Customer No. or Attach bar code label here.)</i> | or | <input type="checkbox"/> Correspondence address below |
| Name  |   |    |   |
| Address   |   |    |   |
| City  | State   |    | Zip Code  |
| Country   | Telephone   |    | Fax   |
| Name (Print/Type)   | David G. Latwesen, Ph.D.  |    | Registration No. (Attorney/Agent) 38533               |
| Signature   |   |    | Date February 13, 2002                                |

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02/13/02

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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$1,134.00)

| Complete if Known    |                |
|----------------------|----------------|
| Application Number   | Filed herewith |
| Filing Date          |                |
| First Named Inventor | Brian E. Cron  |
| Examiner Name        |                |
| Group / Art Unit     |                |
| Attorney Docket No.  | MI22-1804      |

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 23-0925

Deposit Account Name Wells, St. John

Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2.  Payment Enclosed:

Check  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 101 690                    | 201 345                    | Utility filing fee     | 740.00   |
| 106 310                    | 206 155                    | Design filing fee      |          |
| 107 480                    | 207 240                    | Plant filing fee       |          |
| 108 690                    | 208 345                    | Reissue filing fee     |          |
| 114 150                    | 214 75                     | Provisional filing fee |          |

SUBTOTAL (1) (\$ 740.00)

## 2. EXTRA CLAIM FEES

| Extra Claims                   | Fee from below | Fee Paid |
|--------------------------------|----------------|----------|
| Total Claims 35 -20** = 15     | X 18           | = 270    |
| Independent Claims 4 - 3** = 1 | X 84           | = 84     |
| Multiple Dependent             |                | = 0      |

\*\*or number previously paid, if greater; For Reissues, see below

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|----------------------------|----------------------------|--|
| 103 18                     | 203 9                      | Claims in excess of 20                                     |
| 102 78                     | 202 39                     | Independent claims in excess of 3                          |
| 104 260                    | 204 130                    | Multiple dependent claim, if not paid                      |
| 109 78                     | 209 39                     | ** Reissue independent claims over original patent         |
| 110 18                     | 210 9                      | ** Reissue claims in excess of 20 and over original patent |

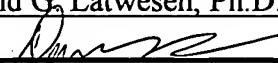
SUBTOTAL (2) (\$ 354.00)

| 3. ADDITIONAL FEES         |                            |  |          |  |
|----------------------------|----------------------------|--|----------|--|
| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid |  |
| 105 130                    | 205 65                     | Surcharge - late filing fee or oath  | 0.00     |  |
| 127 50                     | 227 25                     | Surcharge - late provisional filing fee or cover sheet                     | 0.00     |  |
| 139 130                    | 139 130                    | Non-English specification  | 0.00     |  |
| 147 2,520                  | 147 2,520                  | For filing a request for reexamination                                     | 0.00     |  |
| 112 920*                   | 112 920*                   | Requesting publication of SIR prior to Examiner action                     | 0.00     |  |
| 113 1,840*                 | 113 1,840*                 | Requesting publication of SIR after Examiner action                        | 0.00     |  |
| 115 110                    | 215 55                     | Extension for reply within first month                                     | 0.00     |  |
| 116 380                    | 216 190                    | Extension for reply within second month                                    | 0.00     |  |
| 117 870                    | 217 435                    | Extension for reply within third month                                     | 0.00     |  |
| 118 1,360                  | 218 680                    | Extension for reply within fourth month                                    | 0.00     |  |
| 128 1,850                  | 228 925                    | Extension for reply within fifth month                                     | 0.00     |  |
| 119 300                    | 219 150                    | Notice of Appeal   | 0.00     |  |
| 120 300                    | 220 150                    | Filing a brief in support of an appeal                                     | 0.00     |  |
| 121 260                    | 221 130                    | Request for oral hearing   | 0.00     |  |
| 138 1,510                  | 138 1,510                  | Petition to institute a public use proceeding                              | 0.00     |  |
| 140 110                    | 240 55                     | Petition to revive - unavoidable   | 0.00     |  |
| 141 1,210                  | 241 605                    | Petition to revive - unintentional   | 0.00     |  |
| 142 1,210                  | 242 605                    | Utility issue fee (or reissue)   | 0.00     |  |
| 143 430                    | 243 215                    | Design issue fee   | 0.00     |  |
| 144 580                    | 244 290                    | Plant issue fee  | 0.00     |  |
| 122 130                    | 122 130                    | Petitions to the Commissioner  | 0.00     |  |
| 123 50                     | 123 50                     | Petitions related to provisional applications                              | 0.00     |  |
| 126 240                    | 126 240                    | Submission of Information Disclosure Stmt                                  | 0.00     |  |
| 581 40                     | 581 40                     | Recording each patent assignment per property (times number of properties) | 40.00    |  |
| 146 690                    | 246 345                    | Filing a submission after final rejection (37 CFR § 1.129(a))              | 0.00     |  |
| 149 690                    | 249 345                    | For each additional invention to be examined (37 CFR § 1.129(b))           | 0.00     |  |
| Other fee (specify) _____  |                            |  |          |  |
| Other fee (specify) _____  |                            |  |          |  |

Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)

## SUBMITTED BY

|                   |   |                                      |        |           |               |
|-------------------|---|--------------------------------------|--------|-----------|---------------|
| Name (Print/Type) | David G. Latwesen, Ph.D.  | Registration No.<br>(Attorney/Agent) | 38,533 | Telephone | 509-624-4276  |
| Signature         |  |                                      |        | Date      | Feb. 13, 2002 |

## WARNING:

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